Efficacy of a ketogenic diet with concomitant intranasal perillyl alcohol as a novel strategy for the therapy of recurrent glioblastoma

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Abstract. It has been hypothesized that persistent ketotic hypoglycemia represents a potential therapeutic strategy against high-grade gliomas. Perillyl alcohol (POH) is a non-toxic, naturally-occurring, hydroxylated monoterpene that exhibits cytotoxicity against temozolomide-resistant glioma cells, regardless of O6-methylguanine-methyltransferase promoter methylation status. The present study aimed to evaluate the toxicity and therapeutic efficacy of intranasal POH when administered in combination with a ketogenic diet (KD) program for the treatment of patients with recurrent glioblastoma. The 32 enrolled patients were divided into two groups, KD or standard diet, with intranasal POH treatment (n=17 and n=15, respectively). The nutritional status and anthropometric parameters of the patients were measured. Patients that adhered to the KD maintained a strict dietary regimen, in addition to receiving 55 mg POH four times daily, in an uninterrupted administration schedule for three months. Neurological examination and magnetic resonance imaging analysis were used to monitor disease progression. A total of 9/17 patients in the KD group survived and maintained compliance with the KD. After three months of well-tolerated treatment, a partial response (PR) was observed for 77.8% (7/9) of the patients, stable disease (SD) in 11.1% (1/9) and 11.1% (1/9) presented with progressive disease (PD). Among the patients assigned to the standard diet group, the PR rate was 25% (2/8 patients), SD 25% (2/8) and PD 50% (4/8 patients). The patients assigned to the KD group presented with reduced serum lipid levels and decreased low-density lipoprotein cholesterol levels. These results are encouraging and suggest that KD associated with intranasal POH may represent a viable option as an adjunct therapy for recurrent GBM.

Introduction

Glioblastoma (GBM) is a highly aggressive primary brain cancer that is difficult to treat. The current standard of care consists of surgery, followed by radiation and chemotherapy with the alkylating agent temozolomide (TMZ) (1). However, the therapeutic benefit of TMZ is restricted to the patients presenting with epigenetic silencing of the O6-methylguanine-DNA methyltransferase (MGMT) DNA repair gene in the tumor (2). Otherwise, high expression levels of MGMT allow tumor cells to repair O6-guanine subsequent to methylation by TMZ, thereby preventing drug-induced DNA double-strand breaks and tumor cell death. As a consequence, median overall survival time differs depending on MGMT promoter methylation status,
with 15 months for patients with an unmethylated promoter and 22 months for those with the methylated promoter status (3). In either case, however, (89-97%) of patients succumb to the disease within 5 years, as even for patients initially responding favorably to TMZ, the effectiveness wanes over time as tumors develop drug resistance (4).

A newly-approved vaccine-based therapy, rindopepimut (CDX-110), has demonstrated further extension of median survival time; however, this regimen is only applicable to the 30% of GBM patients who are positive for the epidermal growth factor receptor variant EGFRvIII (5). An approved treatment for the recurrent setting is the angio genesis inhibitor bevacizumab (6). Although it improves the outcome for the majority of GBM patients, the duration of benefit is limited and the survival rate from the time of initial bevacizumab progression is poor (7,8). The latest therapy to be approved is the alternating electric fields generator-based NovoTTF therapy, (9) which has been demonstrated to extend median overall survival time by ~5 months (10). Regardless of the treatment regimen, however, patients with GBM continue to exhibit a dismal prognosis and novel methods of treatment are urgently required.

In one novel approach, the intranasal delivery of perillyl alcohol (POH), a naturally occurring monoterpene related to limonene (11), was investigated in two clinical studies with grade III and grade IV glioma patients (12-14). POH was administered via a lightweight nebulizer and nose mask up to four times daily on a continuous basis. The results were highly promising, indicating therapeutic activity along with good tolerance and no long-term central nervous system or systemic severe adverse events, even following years of daily administration (12-14). POH activity was also noted in recurrent patients, i.e., patients who had become unresponsive to standard of care, and 19% of all patients remained in clinical remission following 4 years of continuous, exclusive treatment with intranasal POH (13,14). As of April 2017, a clinical trial is currently underway in the United States that aims to investigate the safety and efficacy of intranasal NEO100, a highly purified version of perillyl alcohol, in patients with recurrent grade IV glioma (NCT02704858).

Another newly emerging principle being investigated for the treatment of GBM is the ketogenic diet (KD). Besides being a lifestyle choice or a method for weight loss, in medicine the KD is prescribed primarily as a treatment for refractory epilepsy in children (15). Based on its high-fat/low-carbohydrate content, the diet forces the body to metabolize fats and ketone bodies rather than glucose; the state of ketosis is hypothesized to reduce the frequency of epileptic seizures (16).

In the context of brain tumor treatment, the KD is expected to provide two main benefits. First, as epilepsy commonly develops among GBM patients (17), it may aid in a reduction in the severity and number of epileptic episodes and alleviate the requirement for anti-epileptic drugs, which are associated with detrimental neuropsychological effects and often interfere with the therapeutic regimen (18,19). Second, KD may increase the efficacy of cancer therapy. Tumor development is frequently associated with a metabolic alteration, in which cells switch from aerobic respiration towards increased glycolysis and lactate production, despite the availability of oxygen (20). This so-called Warburg effect (aerobic glycolysis) leads to the increased consumption of glucose and the inability of the affected cells to utilize ketone bodies as an energy source (21). In response to KD, it is hypothesized that tumor cells will starve, or revert to normal mitochondrial activity and respiration, altogether resulting in decreased proliferation and metastatic ability, along with a heightened sensitivity to chemotheraphy (22-24).

Previous preclinical studies demonstrated notable benefits of the KD in a variety of animal tumor models, including in malignant brain tumors (25) and in the meta-analysis by Klement et al (26). For example, among groups of mice with luciferase-labeled GL261 glioma cells implanted into their brains, median survival time was significantly extended in mice on a KD compared with mice on a standard diet; in addition, when such mice received two fractions of radiation (2x4 Gy of whole brain radiation), the bioluminescent signals from the intracranial tumors was diminished below the detectable limit in 82% of the mice, and no signs of tumor recurrence were observed for >200 days (27).

Encouraging results from the use of a KD were also reported in patients, and a small number of case studies have indicated a benefit of the KD in patients with malignant glioma, as reviewed by Maroon et al (28). It was consistently reported that patients undergoing the KD presented with reduced blood glucose levels and beneficial therapeutic responses, including the transient disappearance of the brain tumor tissue (29-31). Thus, these case reports, along with numerous anecdotal observations, presented encouraging signs of metabolic reprogramming by the KD, along with the increased efficacy of standard of care treatment for malignant glioma (23,29). This preliminary evidence of the benefit of KD for cancer therapy is currently being validated in ongoing, controlled clinical trials, which include patients with malignant glioma (25).

At the molecular level, reduced glucose availability may cause endoplasmic reticulum (ER) stress, which triggers the unfolded protein response (UPR) cellular process, consisting of an interplay of antagonistic mechanisms; low to moderate activity is cell protective and supports chemo resistance, but more severe conditions aggravate these mechanisms to the point where protective efforts are abandoned and the cell death program is induced instead (32). As tumor cells frequently experience chronic stress conditions (due to hypoxia, hypoglycemia, acidification, oxidative stress, etc.), the protective components of their ER stress response are continuously engaged and thus, less able to neutralize additional insults that tax the ER stress response (33,34). Of note, the ER stress/UPR process has been described as a potential therapeutic target in GBM (35,36) and this cellular mechanism has been demonstrated to be targeted by POH in GBM cells in vitro (37).

It is thus conceivable that the concerted effect of KD-induced hypoglycemia together with POH-induced responses may trigger severely aggravated ER stress, resulting in tumor cell apoptosis (38,39). Therefore, the present study combined a KD with the intranasal delivery of POH, and investigated the effects of this novel combination in a cohort of 32 patients who had previously relapsed from standard of care treatment.
Patients and methods

Patient selection and treatment. The present study was approved by the Fluminense Federal University (Niterói, Brazil; UFF-CAAE: 14613313.8.0000.5243), and was performed at the Antonio Pedro University Hospital, Fluminense Federal University. Each patient signed a written informed consent prior to enrolling in the clinical trial of KD with intranasal delivery of POH. POH was formulated for delivery by inhalation and the preparation was supplied by the Multidisciplinary Laboratory of Pharmaceutical Sciences at Rio de Janeiro Federal University (Rio de Janeiro, Brazil), according to the Brazilian patent no. PI 0107262-5. KD was administered concomitantly with the daily inhalation of POH for three months. POH (55 mg; 0.3% v/v) was administered by inhalation 4 times per day, totaling 266.8 mg/day.

For patients to be included in the trial, a histopathological diagnosis of malignant glioma was required. This was performed during their initial surgery. Additionally, all patients presented with relapsed GBM, had no further standard therapeutic options, were aged >18 years, had measurable contrast-enhancing tumor on magnetic resonance imaging (MRI), Karnofsky performance scale of ≥70% or higher, adequate bone marrow function, white blood cell count of ≥3,000/l, absolute neutrophil count of ≥1,500/l, platelet count of ≥100,000/l, hemoglobin of ≥8.0 g/dl, bilirubin of ≥0.3 mg.

KD details and monitoring. The anthropometric and biochemical status of each patient was assessed at the time of inclusion in the study. The KD was prescribed according to the following distribution: Energy, 25 kcal/kg; protein, 1.5 g/kg; 25% of total calories from carbohydrate, 50% from lipids; cholesterol, ≤200 mg/day; saturated fat, <7%; polyunsaturated fat, <10%; monounsatuated fat, <20% and fiber, 20-30 g/day. Analysis was performed with urinary ketones as it was cheaper and simple for the patients to perform. Participants received urinalysis strips and instructions for use of the test strip. They were instructed to perform the measurement 3 times a week and report the results to the researchers weekly. Levels of ketone bodies in the urine of 5-15 mg/dl were considered to confirm adherence to the KD. Adverse effects, including nausea, weakness, tremors, mood swings, headaches, dizziness and drowsiness were monitored through patient/family reporting by phone contact, and reporting on medical records during the visit.

Patient imaging. Imaging examinations were performed at a range of institutions, using a 1.5 Tesla magnetic resonance imaging (MRI) scanner with a brain coil device. All examinations were included in the complete routine for MRI evaluation with multiplanar T1 W acquisitions prior and subsequent to the venous administration of paramagnetic contrast with volumetric series in 3D, T2, T2/fluid-attenuated inversion recovery (FLAIR), diffusion and susceptibility weighted imaging. Magnetic resonance (MR) proton spectroscopy and MR perfusion were also performed. Images of the MR examinations were analyzed by two radiologists with experience in neuroradiology that produced a consensus diagnosis using a workstation with a picture archiving and communication system. The observing radiologists were aware of the GBM diagnosis and follow-up data. The image analysis focused on the following characteristics: Lesion distribution and volume, signal intensity and T2/FLAIR imaging characteristics, perilesional edema, diffusion restriction patterns, and contrast enhancement.

Assessment of outcome. At the beginning of an evaluation, lesions were measured in order to provide bases for comparison. Response assessment and evaluation criteria for target lesions were as follows: Complete response (CR), all target lesions disappeared during the course of treatment; partial response (PR), decreases of ≥30% were noted in the lesion with the largest diameter; stable disease (SD), there was no significant decrease or increase in the size of target lesions; progressive disease (PD), there was an increase of ≥20% in the largest diameter of targeted lesions or the appearance of a new lesion. Other parameters evaluated were: Peritumoral edema, neurological stability, corticosteroid requirement and general improvement of condition, including reduced frequency of seizures, weight loss, reduced serum lipid levels and low density lipoprotein cholesterol (LDL-C), reduced body fat and increase in lean muscle mass.

Statistical methods. To evaluate the tumor area associated with brain edema before and after dietary intervention in the groups, statistical analysis was performed using a unilateral signal test. A paired Student's t-test was performed to compare normally distributed biochemical parameters, whereas a signal test was used for non-normal parameters, within each treatment group. Comparisons between groups at equivalent timepoints were made with a Student's t-test for normally distributed data and a Mann-Whitney test for non-normal parameters. Statistical tests were performed using SPSS software version 18.0 (SPSS, Inc., Chicago, IL, USA). P<0.05 was considered to indicate a statistically significant difference. The data were graphically presented using box plots.

Results

Patient characteristics. A total of 32 patients with recurrent GBM were enrolled. A total of 17 were allocated to the KD treatment group (range, 31-61; median, 53 years old) and 15 to the control group (standard diet; range, 27-55 years; median, 48 years old). A total of 17 patients completed the study, including 9 patients in the KD group and 8 patients in the control group. Of the 15 patients that did not complete the study, 12 succumbed to their disease and 3 were excluded due to diet non-adherence. Before entering the clinical trial, all patients had received prior conventional therapy (including surgery, chemotherapy and radiotherapy; Table I). No adverse effects, including nausea, weakness, tremors, mood swings, headaches, dizziness or drowsiness, were observed in the study participants.

Clinical outcomes. Following three months of adherence to the treatment in the KD group, PR was observed in 77.8% (7/9) patients, characterized by the reduction of MRI tumor size and peritumoral edema (Fig. 1), neurological stability, reduced corticosteroid requirement, and the general improvement of condition. The corticosteroid was maintained during the trial...
for all patients, but the dose was decreased in the patients who presented with an improvement of clinical status (5/9 patients). PD was observed in 11.1% (1/9) patients, with an increased tumor size or the appearance of a new lesion in MRI. Among the patients of the control group, PR was noted in 25.0% (2/8 patients), and PD was observed in 50.0% (4/8 patients). The imaging data demonstrated that tumor area was significantly smaller in the KD group at 90 days compared with the baseline (P=0.035), and not in the control group (P=0.687; Fig. 2).

There was a statistically significant difference (P=0.003) between the total cholesterol level in the initial and final measurements of the KD group, with values significantly lower at 90 days (Fig. 3). There was a statistically significant difference (P=0.0002) between LDL-C at the initial and final time in treated patients, with higher values at the onset. Test levels of triglycerides also exhibited statistically significant differences (P=0.004) between the initial and final time in the KD group, with higher values at baseline. The same comparisons of total cholesterol, LDL-C and triglycerides in the standard diet group revealed no statistically significant differences between the baseline and 90 days. In the comparisons between the groups (KD and control) at equivalent timepoints, significant differences were observed with regard to total cholesterol and triglycerides between the groups at the initial time (P=0.013 and P=0.036, respectively); however, there was no significant difference in LDL-C levels. At 90 days, all three biochemical parameters exhibited no significant statistical difference between the groups (Table II).

Additionally, all patients on the KD exhibited a moderate decrease in fasting glucose levels, although this was without statistical significance. At the end of the study, all patients on KD were within normoglycemic levels (Table III).

At the beginning of the study, the classification of nutritional status in the KD group according to body mass index (BMI) demonstrated that there was a predominance of overweight patients (n=4; 44%), followed by patients in the normal range (n=3; 33%) and patients with grade I obesity (n=2; 22%), both at baseline and at the end of the study. One patient exhibited a worsening of nutritional status, evolving from overweight to obesity grade I following 90 days of KD, and one patient had an improvement in nutritional status, from grade I obesity to overweight (Table IV).

Discussion

The lack of effective treatments for patients with malignant glioma requires the design of novel therapeutic strategies that take advantage of common glioma phenotypes, including the altered metabolism of glioma cells (23). It has been postulated that a ketogenic diet, i.e., a diet consisting of high fat, low carbohydrates and adequate protein, may be useful in the treatment of brain tumors (25-31). The present study was conducted to assess the therapeutic efficacy and safety of a KD concomitant with intranasal perillyl alcohol as a novel therapeutic strategy for recurrent GBM. Following three months of adherence to the treatment, the following were observed: i) The PR rate was 77.8% (7/9 patients), including the reduction of the MRI tumor image and peritumoral edema; ii) reduced serum lipid levels; and v) decreased LDL-C levels.

Table I. General characteristics of KD and control groups.

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>KD group</th>
<th>Control group</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total, n</td>
<td>9</td>
<td>8</td>
</tr>
<tr>
<td>Age, years</td>
<td>53</td>
<td>48</td>
</tr>
<tr>
<td>Median</td>
<td>31-61</td>
<td>27-55</td>
</tr>
<tr>
<td>Range</td>
<td>22-80</td>
<td>18-70</td>
</tr>
<tr>
<td>Sex, n (%)</td>
<td>4 (44%)</td>
<td>6 (75%)</td>
</tr>
<tr>
<td>Male</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>5 (56%)</td>
<td>2 (25%)</td>
</tr>
<tr>
<td>Previous therapy, n</td>
<td>9</td>
<td>8</td>
</tr>
<tr>
<td>Radiotherapy</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chemotherapy</td>
<td>9</td>
<td>8</td>
</tr>
<tr>
<td>Anti-epileptic drug¹</td>
<td>9</td>
<td>8</td>
</tr>
<tr>
<td>Corticosteroid</td>
<td>9</td>
<td>8</td>
</tr>
<tr>
<td>Karnofsky performance status</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td>100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>90</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>80</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>70</td>
<td>2</td>
<td>3</td>
</tr>
</tbody>
</table>

¹Anti-epileptic drugs included phenytoin, phenobarbital and carbamazepine. KD, ketogenic diet.

Kambach et al (40) demonstrated that glioma cells are sensitive to cholesterol synthesis inhibition downstream of the mevalonate pathway, suggesting that specifically targeting cholesterol synthesis could be an adjuvant treatment for GBM. Another study (41) proposed a potential molecular mechanism by which cholesterol reduction may be effective for preventing and treating the progression of malignant tumors; it suggested that upregulation of the cholesterol pathway may be associated with a poor prognosis in patients with GBM. Consistent with this model, the data of the present study indicated that there is a statistically significant difference in total cholesterol levels prior to subsequent to treatment with a KD with concomitant intranasal POH, with lower values at the end of treatment (Fig. 3). However, no such difference was observed in the standard diet group. Furthermore, there was a statistically significant difference in LDL-C and triglyceride levels prior to subsequent to treatment in the KD group, with higher values at the onset (Table II).

In our previous study, it was demonstrated that although the consistent use of intranasal POH in recurrent GBM patients induced clinical responses, MRI data presented no evidence for the regression in the extent of peritumoral edema (42). In the present study, the extent of peritumoral edema was notably reduced, along with a decrease of the tumor area (Figs. 1 and 2). These results are consistent with another study (43) that investigated the effects of the KD on tumor growth and progression in animal models. The results revealed that peritumoral edema was significantly reduced in animals fed the KD, and protein analyses showed altered expression of zona occludens-1 and aquaporin-4. The authors concluded that the KD directly or indirectly altered the expression of several proteins involved in malignant...
progression and may be a useful tool for the treatment of gliomas. In addition to the MRI-based observation of decreased cerebral edema and tumor volume, a significant decrease in cholesterol and cholesterol esters in particles of LDL was identified in the present study. This observation is in line with an earlier study that demonstrated that proliferating tumor cells utilize serum-derived cholesterol esters, which are presumably carried by LDL particles (44).

Table II. Statistical comparison of biochemical parameters within and between the KD and control treatment groups.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>KD group</th>
<th>Control group</th>
<th>P-value KD and control</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total cholesterol</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Baseline</td>
<td>260.9±51.5</td>
<td>195.6±42.7</td>
<td>0.003&lt;sup&gt;a&lt;/sup&gt;</td>
</tr>
<tr>
<td>After 90 days</td>
<td>220.7±45.5</td>
<td>192.6±48.0</td>
<td>0.663</td>
</tr>
<tr>
<td>Low-density lipoprotein cholesterol</td>
<td>148.8±34.1</td>
<td>118.6±36.8</td>
<td>0.0002&lt;sup&gt;a&lt;/sup&gt;</td>
</tr>
<tr>
<td>Baseline</td>
<td>122.1±27.9</td>
<td>116.5±36.0</td>
<td>0.044&lt;sup&gt;a&lt;/sup&gt;</td>
</tr>
<tr>
<td>After 90 days</td>
<td>180.4±100.9</td>
<td>100.4±64.8</td>
<td>0.004&lt;sup&gt;a&lt;/sup&gt;</td>
</tr>
<tr>
<td>Triglycerides</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Baseline</td>
<td>154.4±93.3</td>
<td>99.1±42.0</td>
<td>1.000</td>
</tr>
<tr>
<td>After 90 days</td>
<td>154.4±93.3</td>
<td>99.1±42.0</td>
<td>1.000</td>
</tr>
</tbody>
</table>

<sup>a</sup>P<0.05, <sup>b</sup>P<0.01. KD, ketogenic diet; SD, standard deviation.

Figure 1. Brain magnetic resonance images of three patients, with images prior to (A,C,E) and following (B,D,F) the commencement of treatment. (A) Axial flair shows part of the expansive lesion and the extensive perilesional edema in the left temporoparietal region, which extends along the left cerebral peduncle. (B) Axial flair in the control shows reduction of lesion dimension. (C) Axial T1W contrast-enhanced image demonstrates lesion with peripheral and irregular enhancement and perilesional edema. (D) Axial T1W contrast-enhanced image of the control with reduction of tumor volume following therapy. Postoperative changes in correspondence. (E) Axial flair demonstrates a heterogeneous lesion with hyperintense area. (F) Axial flair of the control shows reduction in the dimensions of the heterogeneous lesion with extensive perilesional edema. Magnetic susceptibility artefact by previous biopsy.
The decrease of cholesterol in the present study can be explained as there was attention to the quality of the lipids offered (monounsaturated fatty acid > polyunsaturated > saturated fatty acid) and the amount of cholesterol was limited to 200 mg/day. These results are not consistent with other studies (44-46), which concluded that KD increased the serum levels of total lipids and LDL-C. However, Sharma et al (47) did not observe significant alterations to the lipid profile of children with refractory epilepsy treated with KD, which remained within normal limits throughout the study.

In the present study, a moderate decrease in fasting glucose levels was documented, although without statistical significance (Table IV). The reason for this discrepancy is unclear and further investigation of this aspect will be required. It is important to note that the results of the present study were obtained with a 90-day period of dietary intervention. It is conceivable that a longer duration of the concomitant regimen may provide additional insight into the benefits of this regimen. However, shorter interventions may prove beneficial as well; for example, using a type 2 diabetic mouse model, Zhang et al (45) demonstrated that just...
8 weeks of KD was sufficient to improve glucose and insulin tolerance, although hepatic lipid accumulation and hepatic steatosis were observed. Future studies investigating the KD as an adjuvant strategy to combat malignant gliomas should explore the optimal duration of this dietary intervention to support superior therapeutic outcomes.

In summary, the results of the present study are encouraging and suggest that KD associated with intranasal POH may represent a viable option as an adjunct therapy for recurrent GBM. Currently established therapeutic approaches for this patient cohort are lacking comprehensive efficacy, and the vast majority of patients succumb to the disease within a few years. Clearly, more effective treatments are urgently required. This small study will hopefully fuel further discussion and stimulate additional investigations to explore the potential benefit of adding a KD to existing regimens for patients with GBM.

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References


