

Non-coding RNAs as regulators in epigenetics (Review)

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Abstract. Epigenetics is a discipline that studies heritable changes in gene expression that do not involve altering the DNA sequence. Over the past decade, researchers have shown that epigenetic regulation plays a momentous role in cell growth, differentiation, autoimmune diseases, and cancer. The main epigenetic mechanisms include the well-understood phenomenon of DNA methylation, histone modifications, and regulation by non-coding RNAs, a mode of regulation that has only been identified relatively recently and is an area of intensive ongoing investigation. It is generally known that the majority of human transcripts are not translated but a large number of them nonetheless serve vital functions. Non-coding RNAs are a cluster of RNAs that do not encode functional proteins and were originally considered to merely regulate gene expression at the post-transcriptional level. However, taken together, a wide variety of recent studies have suggested that miRNAs, piRNAs, endogenous siRNAs, and long non-coding RNAs are the most common regulatory RNAs, and, significantly, there is a growing body of evidence that regulatory non-coding RNAs play an important role in epigenetic control. Therefore, these non-coding RNAs (ncRNAs) highlight the prominent role of RNA in the regulation of gene expression. Herein, we summarize recent research developments with the purpose of coming to a better understanding of non-coding RNAs and their mechanisms of action in cells, thus gaining a preliminary understanding that non-coding RNAs feed back into an epigenetic regulatory network.

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1. Introduction

Epigenetics is the study of inherited changes in phenotype (appearance) or gene expression that are caused by mechanisms other than changes in the underlying DNA sequence (1,2). These changes may persist through multiple cell divisions, even for the remainder of the cell's life, and may also last for multiple generations. However, to reiterate, there is no change in the underlying DNA sequence of the organism. The most significant epigenetic mechanisms include DNA methylation, histone modifications, and the processes mediated by the most recently discovered class, the non-coding RNAs (3). DNA methylation is defined as the selective methylation (addition of a methyl group) of cytosine within a CpG dinucleotide, thereby forming 5-methylcytosine (4,5). There are two types of DNA methyltransferases (DNMTs). The first type, DNMT1, mainly plays a role in the maintenance of methylation, can methylate the hemi-methylated cytosine in double-stranded DNA molecules, and may be involved in the methylation of the newly synthesized strand during replication of duplex DNA (6). However, DNMT3a and DNMT3b play a major role in *de novo* methylation, in which methylation can be performed on double-stranded DNA that is not methylated. DNA methylation is generally associated with gene silencing (7), and DNA demethylation is usually connected with gene activation (8-10).

Histone modification is the process of modification of histone proteins by enzymes, including post-translational modifications, such as methylation, acetylation, phosphorylation, and ubiquitination. These modifications constitute a rich 'histone code' (11). Histone modifications play a vital role in gene expression by modulating the degree of tightness, or compaction, of chromatin (12). Methylation, which frequently occurs on histones H3 (13) and H4 on specific lysine (K) and

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arginine (A) residues, is one important method for the modification of histone proteins. Histone lysine methylation can lead to activation and can also lead to inhibition, usually depending on the situation in which it is located. For instance, H3K9, H3K27, and H4K20 are well known by scholars as important ‘inactivation’ markers, i.e., repressive marks, because of the relationship between these methylations and heterochromatin formation. However, the methylation of H3K4 and H3K36 are considered to be ‘activation’ marks (14,15). Acetylation, which in most cases occurs in the N-terminal conserved lysine residues, is also an important way to modify the histone proteins, for example, acetylations of lysine residues 9 and 14 of histone H3 and of lysines 5, 8, 12, and 16 of histone H4. Both acetylations are associated with the activation or opening of the chromatin. On the contrary, de-acetylation of the lysine residues leads to chromatin compression and inactivation of gene transcription. Different histone modifications can affect each other and can have interactions with DNA methylations (16,17).

Non-encoding RNAs (non-coding RNAs) that are not translated into proteins can be divided into housekeeping non-coding RNAs and regulatory non-coding RNAs. RNA that has a regulatory role is mainly divided into two categories based on size (18,19): short chain non-coding RNAs (including siRNAs, miRNAs, and piRNAs) and long non-coding RNA (lncRNAs) (Table I). In recent years, a large number of studies have shown that non-encoding RNAs play a significant role in epigenetic modification and can regulate expression at the level of the gene and the level of chromosome to control cell differentiation (20-23) (Fig. 1). Therefore, in this review we will focus on the above four kinds of non-coding RNAs and their regulatory roles in epigenetics.

2. siRNA

siRNA is derived from long double-stranded RNA molecules (including RNAs arising from virus replication, transposon activity or gene transcription), which can be cut by the Dicer enzyme into RNA fragments of 19-24 nt (nt: nucleotides), with the resulting RNA fragments exercising their functions when loaded onto Argonaute (AGO) proteins (24,25), as summarized in Fig. 2B. **(also indicate Fig. 2A appropriately)**

Recent studies showed that siRNA can lead to transcriptional gene silencing (TGS) in cells by means of DNA methylation and histone modification in cells (26-28). Zhou *et al.* (29) demonstrated that siRNA could silence EZH2 and then reverse cisplatin-resistance in human non-small cell lung and gastric cancer cells. EZH2, as a histone methyltransferase, can cause H3K27 methylation, and then the methylated H3K27 can serve as an anchor point for CpG methylation, leading to the formation of silent chromatin, and ultimately, to TGS (30). Chromatin immunoprecipitation experiments showed that the binding of DNMTs to a given gene inhibited by EZH2 depended on the presence of EZH2. Bisulfite sequencing results also proved that EZH2 was required for the methylation of an EZH2-repressed target promoter, suggesting that EZH2 participated in DNA methylation by way of recruiting a DNA methyltransferase.

Several teams have demonstrated that depletion of Dicer, Argonaute, and RNA-dependent RNA polymerase homolog Rdpl (the key components of the RNA interference silencing

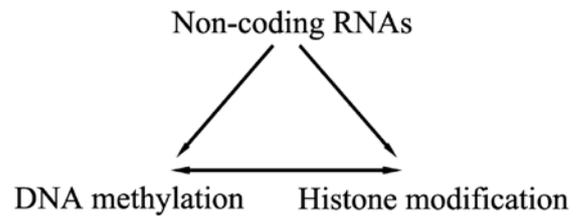


Figure 1. Function of non-coding RNAs in epigenetics.

complex, RISC) can cause the aberrant accumulation of long non-coding RNAs, resulting in the loss of H3K9me, thus impairing centromere function (31,32). siRNA-mediated epigenetic regulation is also found in Arabidopsis (33), in which case AGO4, a specific argonaute family protein, is required for siRNA accumulation and DNA and histone methylation (34). Consistent with these results, H3K9me-marked pericentric heterochromatin is maintained by an RNA component (35). Moreover, with the development of sequencing technology, our efforts bring to light the forceful correlation between H3K9 methylation and repetitive elements (36), which occupy two-thirds of the human genome. These outstanding findings bring us an intriguing possibility that the RNA interference pathways may have impressive roles in maintenance and regulation of the epigenome. Deep mechanistic research of the siRNAs involved in this process may contribute to helping us accurately understand the inheritance of epigenetic regulation.

3. miRNA

miRNAs are single-stranded RNAs of approximately 19-24 nt, of which 50% are located in chromosomal regions that are prone to structural changes (37). Originally, it was thought that there were two main points of difference between siRNA and miRNA as classes of regulatory RNAs. One is that miRNA is endogenous and is the expression product of the biological gene but that siRNA is exogenous, originating from viral infection, the point of gene transfer, or the gene target. The other point of difference is that miRNA consists of incomplete hairpin-shaped double stranded RNA, which is processed by Drosha and Dicer, whereas siRNA is the product of a fully complementary, long double-stranded RNA, which is processed by Dicer (38). In spite of these differences, it is speculated that miRNA and siRNA have a similar mechanism of action in mediating transcriptional gene silencing because of the close relationship between miRNA and siRNA, e.g., the size of the two fragments are similar (Fig. 2). Recently, in the human genome, almost 1,800 putative miRNAs have been identified, and the number of miRNAs is still increasing rapidly due to the development of high-throughput sequencing technologies (39-41).

The current model is that the regulatory mechanism of miRNA reflects the degree of complementarity between the specific loading protein AGO, a given miRNA, and the target mRNA (42). Usually only a very small number of miRNAs are almost completely complementary with their mRNA targets; in this case, a targeted mRNA can be directly cleaved and degraded. However, the overwhelming number of miRNAs and their target mRNAs are only partially complementary,

Table I. Main non-coding RNAs in regulation of epigenetics.

Name	Size	Source	Main functions
siRNA	19-24 bp	Double stranded RNA	Silent transcription gene
miRNA	19-24 bp	pri-miRNA	Silent transcription gene
piRNA	26-31 bp	Long single chain precursor	Transposon repression DNA methylation
lncRNA	>200 bp	Multiple ways	Genomic imprinting X-chromosome inactivation

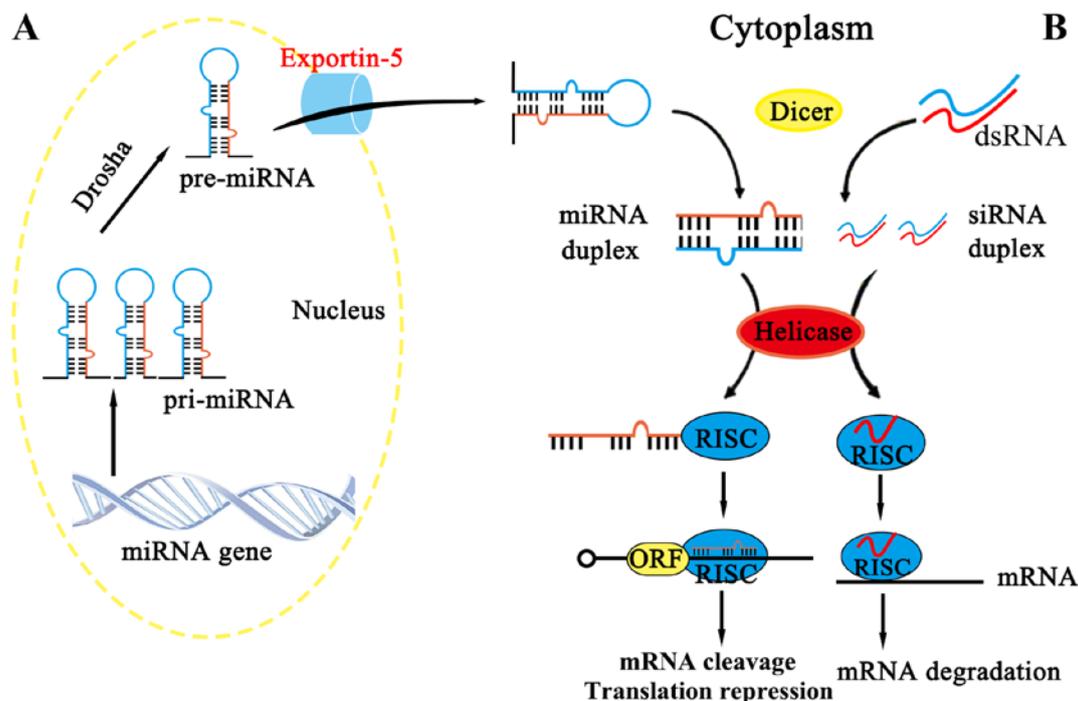


Figure 2. The mechanism of miRNA and siRNA. (A) miRNA is produced by endogenous genes containing hairpin structures of 65-70 nt pre-miRNA; the hairpin structure was processed by Drosha-DGCR8 complex into pre-miRNA in the nucleus, transferred to cytoplasm through Exportin-5, then processed into miRNA by Dicer, and loaded to protein Argonaute (AGO2). (B) siRNA derived from the long double stranded RNA molecule was cut into a fragment of 21-25 nt by the Dicer enzyme and then played a role after loading to protein Argonaute (AGO2).

generally for 6-7 nucleotides, which are located in the 5' end of the 2 to 7 nucleotide stretch called the 'seed region'. The 'seed region' is the most basic and decisive factor in the selection of the target because of the seed region's important role in the binding of the target. At the same time, many studies suggest that a given miRNA can regulate even hundreds of different genes (42-44). Through the study of more than 13,000 human genes, Lewis *et al* (44) made a further speculation: that histone methyltransferases, methyl CpG binding proteins, chromatin domain proteins, and histone deacetylases are potential targets for miRNAs.

Although miRNAs that directly participate in epigenetic regulation have not been reported in mammalian cells, several scholars have found that aberrant expression of miRNAs can change the whole DNA or chromatin state by restricting chromatin remodeling enzyme activity (45,46). Existing studies have shown that miRNAs can induce chromatin remodeling through the regulation of histone modification. Some scholars

have reported that histone deacetylase 4 (HDAC4) is a specific target for *miR-140* in mouse embryonic cartilage tissue. These two examples suggest that miRNA may be involved in TGS via the modification of histone proteins (47). Kim *et al* (48) found that *miR-320*, one of the most conserved miRNAs, can recruit AGO1 to the POLR3D promoter. Moreover, EZH2 and H3K27 trimethylation are involved in TGS. This further confirms that miRNAs can cause TGS.

Considering the large number of miRNAs that base pair with transcribed RNAs, it is not surprising that the miRNA class of noncoding RNAs may directly take part in epigenetic control of gene expression. Two current studies demonstrated the existence of miRNA-mediated DNA methylation changes in plants (49,50). Other recent studies have shown that miRNA can affect DNA methylation through the regulation of DNA methylases. Fabbri *et al* (51) found that the expression of the *miR-29* family (*miR-29a*, *miR-29b* and *miR-29c* included) is downregulated but that DNMT3a and DNMT3b are highly

expressed in non-small cell carcinoma. The complementarity between the *miR-29* family and the 3'UTRs of DNMT3a and 3b suggests that mRNAs of DNMTs are the target of the *miR-29* family.

Benetti *et al* (52) and Sinkkonen *et al* (53) both demonstrated that the downregulation of DNMT3a and 3b activity depended on the *miR-29* family in mouse embryonic stem cells lacking Dicer. In this case, the main mechanism for the absence of DNA methylation was that retinoblastoma-like protein 2 (Rbl2) inhibited the activity of DNMT3a and DNMT3b. Rbl2 can be inhibited by the *miRNA-29* family, but the *miRNA-29* family was downregulated in the absence of Dicer activity. These observations also raise the possibility that *miR-29* can inhibit tumors by enhancing the expression of tumor suppressor genes. Work by Gonzalez *et al* (54) shows that tumor miRNAs (*miR17-5p* and *miR-20a*) have the ability to induce the formation of heterochromatin, providing another example of the existence of new mechanisms of chromatin remodeling and gene transcription mediated by miRNA regulation. The above-mentioned kinds of research will help us to clarify the mechanisms of tumorigenesis and broaden our perspective of what may constitute promising new targets for cancer therapy.

4. piRNA

piRNAs are a class of RNA molecules that are approximately 26-31 nt in length. The name, piRNA (Piwi-interacting RNA), reflects the fact that piRNAs bind to Piwi proteins under physiological conditions (55,56). Reflecting its role as an epigenetic regulatory factor, the Piwi protein silences the homeobox gene by binding to genomic PcG response elements together with PcGs (polycomb group proteins). Thus, it has been speculated that the piRNAs that are associated with the Piwi protein should also have important roles in epigenetic regulation (57).

Relevant research shows that piRNAs can be divided into two sub-clusters (58,59). One is the pachytene piRNA cluster, which mainly occurs during meiosis and continues to be expressed up through the haploid spermatid stage. The other is the pre-pachytene piRNA cluster, which appears mainly in premeiotic germ cells. Although pre-pachytene piRNAs have the molecular characteristics of the pachytene piRNA cluster, pre-pachytene piRNAs come from a completely different cluster and contain repetitive sequence elements.

The biosynthesis and mechanism(s) of action of the piRNA class of regulators are still unclear at present. Each piRNA comes from a single chain precursor, rather than from a double-stranded RNA, implying that the functions of piRNAs do not depend on Dicer enzyme activity in cells. Another difference is the feature of strand asymmetry in the pachytene piRNA cluster (60). Consequently, there are two emerging hypotheses: one is that each piRNA is generated from a long single-stranded molecule, and the other hypothesis is that the piRNA may serve as a primary transcript. Aravin *et al* (58) proposed a model of piRNA self-amplification in cells based on experimental evidence in rats. It is different from the amplification model in *Drosophila*, in which the gene cluster is not positioned to be the main source of the primary piRNAs. Instead, the primary piRNAs are thought instead to be produced by transposon mRNAs in amplification cycles.

In contrast to gene-silencing mediated by small RNAs, piRNAs were originally found to have the ability to promote euchromatic histone modifications in *Drosophila melanogaster* (61). Moreover, a current genome-wide survey indicates that piRNAs and Piwi are strongly associated with chromatin regulation and that piRNAs efficiently recruit the HP1a protein to specific genomic loci in order to repress RNA polymerase II transcription (62). Studies have shown that the DNA methyltransferase family (DNMT3a, DNMT3b, and DNMT3L) plays a major role in transposon methylation. Thus, the catalytic activities of DNMT3a and DNMT3b are very important in germ cells and somatic cells, while DNMT3L is a key promoter of methylation in germ cells (63). Experiments show that two Piwi proteins, MILI and MIWI2, are necessary for silencing *LINE-1* and *IAP* transposons in the testis and the deletion of MILI or MIWI2 reduces the level of transposon methylation; MIWI2 is always located in the nucleus during the critical period of methylation; small RNA sequence analysis showed that MILI and MIWI2 both have a role upstream of DNMT3L and then act on DNMT3a and DNMT3b. The above experimental results confirmed that the complex of Piwi protein/piRNA can mediate the methylation of transposons and that piRNA is a specific determinant of DNA methylation in germ cells (64). A similar regulatory mode may also exist in other kinds of somatic tissues, but this notion still remains unclear.

5. lncRNA

lncRNAs represent another class of non-coding regulatory RNAs. lncRNAs are generally >200 nt in length, are located in the nucleus or cytoplasm, and rarely encode proteins (65,66). lncRNAs usually can be divided into five categories: Sense, Antisense, Bidirectional, Intronic, and Intergenic lncRNA (19). However, these five categories mainly involve only four archetypal lncRNA mechanisms for regulating gene expression: Signals, Decoys, Guides, and Scaffolds (67).

There are many different sources of lncRNAs (19): (I) Arising by the disruption of the translational reading frame of a protein-encoding gene (II); Resulting from chromosomal reorganization, for example, by the joining of two non-transcribed DNA regions in such a fashion as to promote transcription of the merged, non-coding sequences; (III) Produced by replication of a non-coding gene by retrotransposition; (IV) Generation of a non-encoding RNA containing adjacent repeats via a partial tandem duplication mechanism; and (V) Arising from the insertion of a transposable element(s) into a gene in such a way as to produce a functional, transcribed non-encoding RNA. Studies have indicated that lncRNAs play a similar role in the regulation of gene expression in spite of fact that there is no common, shared mechanism by which all the numerous lncRNAs originated (65).

Studies of genomic imprinting and X chromosome inactivation were the first to reveal a role for lncRNAs in epigenetic regulation, identifying roles for two lncRNAs, *H19* RNA and *Xist* RNA, respectively (68). *H19* is a genomic imprinting lncRNA that can be transported to the cytoplasm, is spliced and polyadenylated, and achieves a high cytosolic concentration. The function of *H19* is still not clear, even if it is the first gene to be associated closely with genomic imprinting. Recently, *H19* RNA was found to contain a precursor of

miR-675 in human and rat cells (69), indicating that *H19* RNA may also regulate gene expression through a miRNA-based mechanism. Genomic imprinting is associated not only with the *H19* gene cluster, but also with *Kcnq1ot1*, *Air*, and *Nespas* lncRNAs (70,71). Experimental results of promoter deletion in *Kcnq1ot1* and *Air* suggest that lncRNA transcripts are necessary for silencing *Kcnq1ot1*- and *Igf2r/Air*-imprinted genes and that they are essential for the methylation of H3K27 and H3K9 and for DNA methylation of some genes (72).

Xist RNA, which is a 17 kb long non-coding RNA, is very important for X chromosome inactivation. *Xist* does not travel to the cytoplasm; instead, this RNA interacts physically with the X chromosome that is about to be inactivated by *Xist*. *Xist* RNA silences genes in *cis* by ‘coating’ the surface of the inactivated X chromosome (73). Recent research by Zhao *et al* (74) shows that *RepA* (a 1.6 kb fragment of *Xist* RNA) can induce H3K27 trimethylation by recruiting the Polycomb repressive complex 2 (PRC2) to the inactivated X. In addition, *RepA* has a crucial importance not only for methylation of H3K27 but also for expression of *Xist* RNA.

Recent studies show that some gene clusters and lncRNAs may have dual roles, participating both in the process of X chromosome inactivation and in the process of genomic imprinting. In this context, gene silencing may involve both *cis* and *trans* mechanisms. For instance, the *HOTAIR* RNA (Hox transcript antisense RNA) originates from the *HOXC* cluster and can silence gene transcription in a region of up to 40 kb in *HOXD*; the main mechanism is through recruitment of Polycomb repressive complex PRC2 to *HOXD* through *HOTAIR*, which then triggers the formation of heterochromatin and TGS via trimethylation of H3K9 (75-77).

Other studies (78) indicate that there is another mechanism of X chromosome inactivation. According to this mechanism, *Xist* and *Tsix* can form an RNA dimer that is processed by Dicer to yield siRNAs. These different approaches may be able to coordinate the roles of lncRNAs and small RNAs in chromatin remodeling, indicating that there is a more complex and interactive network of regulation by non-coding RNAs.

6. Conclusion and prospective

With the attention on non-coding RNAs in the etiology of diseases, the noncoding RNA has become a ‘hot’ issue in modern genetics research, especially as a new mechanism of epigenetic regulation. However, thus far, scientists have only a very limited understanding of the mechanisms by which non-coding RNAs regulate gene expression.

At first, piRNAs, miRNAs and siRNAs were thought to function independently, and this supposition was reinforced by the obvious differences between them. However, in recent years, the roles of these pathways have begun to blur, and some of these pathways appear to interact, thereby constituting a regulatory network. So how have living organisms adapted to these multiple regulatory mechanisms? Before we can solve this problem, we must first understand the details of the non-coding RNAs themselves. At present, a key problem is the respective roles and interrelationships between this large, complex RNA regulatory network and protein-based regulatory mechanisms. Analysis of this regulatory network for non-coding RNAs is very difficult work, so we need to

systematically find and analyze non-coding RNAs. This will require gradual improvements and new methods in gene and genome scanning technologies to reveal all the information and functions of non-coding RNAs. The ultimate goal is to clarify the detailed mechanisms of regulation by the non-coding RNAs and their interactions with normal cells and disease states.

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